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PATENT TRADEMARK OFFICE

Docket No. 4024-4021

## THE UNITED STATES PATENT AND TRADEMARK OFFICE

EXPRESS MAIL CERTIFICATE

**Applicant(s):** Greg Dudoff and John Trezza  
**Serial No.:** 09/897,158 **Group Art Unit:** 2872  
**Filed:** June 29, 2001 **Examiner:** Douglas A. Willie  
**For:** OPTO-ELECTRONIC DEVICE INTEGRATION

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**Date of Deposit: June 17, 2003**

I hereby certify that the following attached paper(s) and/or fee

1. Fourth Supplemental Information Disclosure Statement (3 pgs. in duplicate);
2. PTO Form 1449 (1 page);
3. Copy of twelve (12) references cited in PTO Form 1449;
4. Check in the amount of \$180.00; and
5. Return postcard.

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to Mail Stop DD, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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(Typed or printed name of person mailing paper(s) and/or fee)

(Signature of person mailing paper(s) and/or fee)

**Correspondence Address:**

MORGAN & FINNEGAN, L.L.P.  
345 Park Avenue  
New York, NY 10154-0053  
(212) 758-4800 Telephone  
(212) 751-6849 Facsimile

PATENT

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**FOURTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Mail Stop DD  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This Information Disclosure Statement is filed in accordance with 37 C.F.R. §§1.56, 1.97 and 1.98. The items listed on Form PTO-1449, a copy of which is enclosed, are made of record to assist the Patent and Trademark Office in its examination of this application. The Examiner is respectfully requested to fully consider the items and to independently ascertain their teaching.

1. ☐ For each of the following items listed on the enclosed copy of Form PTO-1449 that is not in the English language, an English language translation of that item or a portion thereof or a concise explanation of the relevance of that item is enclosed:  
\_\_\_\_\_
2. ☐ For each of the following items listed on the enclosed copy of Form PTO-1449 that is not in the English language, a concise explanation of the relevance of that item is incorporated in the specification of the above-identified application.
3. ☐ Any copy of the items listed on the enclosed copy of Form PTO-1449 that is not enclosed with this Information Disclosure Statement was previously cited by or submitted to the Patent and Trademark Office in application Serial No. \_\_\_\_\_, filed \_\_\_\_\_.
4. ☐ No fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with:
  - ☐ 37 C.F.R. §1.97(b)(1), within three months of the filing date of a national application other than a CPA; or
  - ☐ 37 C.F.R. §1.97(b)(2), within three months of the date of entry into the national stage as set forth in §1.491 in an international application; or
  - ☐ 37 C.F.R. §1.97(b)(3), before the mailing date of a first Office action on the merits; or

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- ☐ 37 C.F.R. §1.97(b)(4), before the mailing date of a first office action after the filing of an RCE under §1.114.
5. ☐ No fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(c), after the period specified in paragraph 4 above but before the mailing date of a final action or a Notice of Allowance (where there has been no prior final action), and is accompanied by one of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 9 below.
6. ☒ **A fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(c), after the period specified in paragraph 4 above but before the mailing date of a final action or a notice of allowance (where there has been no prior final action):**
- ☒ **A check in the amount of \$180.00 is enclosed in payment of the fee.**
- ☐ Charge the fee to Deposit Account No. 13-4500, Order No. \_\_\_\_.
- A DUPLICATE COPY OF THIS SHEET IS ATTACHED.**
7. ☐ A fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(d), after the mailing date of a final action or a notice of allowance, whichever comes first, but before payment of the issue fee, and is accompanied by:
- a. one of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 9 below; and
- b. the fee due under 37 C.F.R. §1.17(p) which is paid as set forth in paragraph 11 below.
8. ☐ This Information Disclosure Statement is being filed in compliance with:
- a. ☐ 37 C.F.R. §1.313(b)(3) or §1.313(c)(1), after the issue fee has been paid and information cited in this Information Disclosure Statement may render at least one claim unpatentable and is accompanied by the attached Petition To Withdraw Application From Issue and fee pursuant to 37 C.F.R. §1.17(h);
- b. ☐ 37 C.F.R. §1.313(c)(2) or §1.313(c)(3), after the issue fee has been paid and information cited in this Information Disclosure Statement is to be considered in a Request for Continued Examination (RCE) or a Continuation application upon abandonment of the instant application and is accompanied by the attached Petition To Withdraw Application From Issue and fee pursuant to 37 C.F.R. §1.17(h).
- c. ☐ The fee due under 37 C.F.R. §1.17(h) is paid as set forth in paragraph 11 below.
9. ☐ I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

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**Docket No. 4024-4021**

- ☐ I hereby certify that no item of information in the Information Disclosure Statement filed herewith was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.
10. ☐ This document is accompanied by ☐ a Search Report ☐ Communication which was cited in a corresponding ☐ PCT or ☐ Foreign counterpart application.
11. ☐ A check in the amount of \$ \_\_\_\_\_ is enclosed in payment of the fees due under 37 C.F.R. §§1.17(h) and 1.17(p).
- ☐ Charge the fees due under 37 C.F.R. §§1.17(h) and 1.17(p) to Deposit Account No. 13-4500, Order No. \_\_\_\_\_. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
- ☒ **The Commissioner is hereby authorized to charge any additional fees which may be required for this Information Disclosure Statement, or credit any overpayment to Deposit Account No. 13-4500, Order No. 4024-4021. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.**

Respectfully submitted,  
MORGAN & FINNEGAN, L.L.P.

Dated: June 17, 2003

By: \_\_\_\_\_

Richard Straussman  
Registration No. 39,847

**Correspondence Address:**

MORGAN & FINNEGAN, L.L.P.  
345 Park Avenue  
New York, NY 10154-0053  
(212) 758-4800 Telephone  
(212) 751-6849 Facsimile



<b>FORM PTO-1449</b> <b>INFORMATION DISCLOSURE CITATION</b>	<b>Attorney Docket:</b> 4024-4021	<b>Serial No.:</b> 09/897,158
	<b>Applicant:</b> Greg Dudoff and John Trezza	
	<b>Filing Date:</b> June 29, 2001	<b>Group Art Unit:</b> 2872

**U.S. PATENT DOCUMENTS**

Exam iner Initial	Patent Number	Issue Date	Name	Class	Sub- Class	Filing Date
	4,481,403	November 6, 1984	Del Monte			March 4, 1983
	5,488,504	January 30, 1996	Worchesky et al.			August 20, 1993
	5,636,052	June 3, 1997	Arney et al.			July 29, 1994
	5,568,574	October 22, 1996	Tanguay, Jr. et al.			June 12, 1995
	6,553,044	April 22, 2003	Eden			October 20, 1998
	6,438,150	August 20, 2002	Yoo			March 9, 1999
	6,118,908	September 12, 2000	Bischel et al.			June 15, 1999
	6,522,794	February 18, 2003	Bischel et al.			January 31, 2000
	6,423,561	July 23, 2002	Chino et al.			August 16, 2000
	6,532,101	March 11, 2003	Islam et al.			March 16, 2001
	Publication No. US 2002/0126364 A1	September 12, 2002	Miles			February 19, 2002
	Publication No. US 2002/0141011 A1	October 3, 2002	Green et al.			September 12, 2001

**FOREIGN PATENT DOCUMENTS**

Exami ner Initial	Patent Number	Publication Date	Country	Class	Sub- Class	Translation
						<input type="checkbox"/> Yes <input type="checkbox"/> No

**OTHER DOCUMENTS**

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<b>Examiner</b>	<b>Date Considered</b>
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP §609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.	